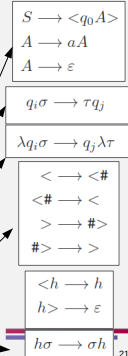


**Theorem 5.2.** A language  $L$  is Turing acceptable (equivalently, recursively enumerable) if and only if there is a general grammar that generates  $L$ .

- idea of proof
  - grammar  $\rightarrow$  Turing acceptable
    - $M$  generates every string derivable from the start symbol  $S$  –
      - start with  $w\$S$  on the tape
      - repeatedly
        - » for each string on the tape and each production  $x \rightarrow y$ , if  $x$  occurs in the string, append  $\$$  to the end of the tape and copy the string, replacing  $x$  with  $y$
        - » compare the new string to  $w$ , halting if they match
    - if  $w \in L$ , eventually  $M$  will produce it and halt

**Theorem 5.2.** A language  $L$  is Turing acceptable (equivalently, recursively enumerable) if and only if there is a general grammar that generates  $L$ .

- idea of proof
  - Turing acceptable  $\rightarrow$  grammar
    - idea: build a grammar whose rules simulate the steps of  $M$
    - let the terminal symbols of  $G$  be the symbols from  $\Sigma$
    - let the non-terminal symbols of  $G$  be the states of  $M$ , the alphabet symbols not in  $\Sigma$ ,  $<$ ,  $>$ ,  $S$ ,  $A$
    - produce any string of the form  $\langle q_0 a^n \rangle$ 
      - represents a configuration of  $M$  with  $M$  in its start state, positioned at the beginning of a string of  $n$   $a$ 's
    - capture transition  $\delta(q_i, s) = (\tau, R, q_j)$
    - capture transition  $\delta(q_i, s) = (\tau, L, q_j)$ , for each of the alphabet symbols  $\lambda$
    - add and remove blanks from the end of the current portion of the tape as needed
    - clean up when  $M$  has halted



- $L$  is Turing-decidable if and only if both  $L$  and its complement are Turing-acceptable
  - idea of proof, only if direction (acceptable  $\rightarrow$  decidable) –
    - let  $M$  be a Turing machine accepting  $L$  and  $M'$  be a Turing machine accepting  $L$ 's complement
    - build  $T$  to decide  $L$ 
      - simulate both  $M$  and  $M'$  on  $w$  one step at a time, halting with output 1 if  $M$  terminates and output 0 if  $M'$  terminates
      - if  $L$  and  $L$ 's complement are both Turing-acceptable, either  $M$  or  $M'$  will halt on  $w$  and thus  $T$  halts on  $w$
  - idea of proof, if direction (decidable  $\rightarrow$  acceptable)
    - let  $M$  be a Turing machine deciding  $L$
    - build  $T$  to accept  $L$ 
      - simulate  $M$  on  $w$ , then halt with output 1 if  $M$  halts with output 1 or go into an infinite loop if  $M$  halts with output 0
    - build  $T'$  to accept the complement of  $L$ 
      - simulate  $M$  on  $w$ , then halt with output 1 if  $M$  halts with output 0 or go into an infinite loop if  $M$  halts with output 1

- this can be generalized to other models of computation –
  - *recursively enumerable* is a synonym for *Turing-acceptable* (Thm 5.1)
  - *recursive* is a synonym for *Turing-decidable*

**Theorem 5.3.** Let  $\Sigma$  be an alphabet and let  $L$  be a language over  $\Sigma$ . Then  $L$  is recursive if and only if both  $L$  and its complement,  $\Sigma^* \setminus L$ , are recursively enumerable.

1. The language  $L = \{a^m \mid m > 0\}$  is the range of the function  $f(a^n) = a^{n+1}$ . Design a Turing machine that computes this function, and find the grammar that generates the language  $L$  by imitating the computation of that machine.
3. Show that a language  $L$  over an alphabet  $\Sigma$  is recursive if and only if there are grammars  $G$  and  $H$  such that the language generated by  $G$  is  $L$  and the language generated by  $H$  is  $\Sigma^* \setminus L$ .

## Computable Languages

- recursively enumerable languages are languages that can be defined by computation
- so far, every computational method developed for specifying languages produces only recursively enumerable languages
- yet, most languages are not recursively enumerable
  - there are uncountably many languages over a particular alphabet
  - there are only countably many recursively enumerable languages over the same alphabet

## Uncomputable Languages

- most languages are not recursively enumerable
- what do these languages look like?
  - whatever property defines whether  $w$  is in  $L$  can't be computable
    - there is no Turing machine (or computer program) that tests whether  $w$  has the property

## Symbolic Representation of Turing Machines

- consider a Turing machine  $M$
- we can assume, without loss of generality –
  - $q$  is the start state,  $h$  is the halt state, and the other states are named  $q', q'', q''', \dots$
  - the symbols are  $0, 1, a, \#$  (blank) with auxiliary symbols  $a', a'', a''', \dots$
- call such a Turing machine a *standard Turing machine*
- $M$  can be represented with a string of symbols from the alphabet  $\{h, q, L, R, \#, 0, 1, a, ', \$\}$ 
  - the transition rule  $\delta(q'', 0) = (a''', L, q)$  is encoded as  $q'' \theta a''' Lq$
  - encode a complete machine by listing the transition rules, separated by  $\$$

*without loss of generality (w.l.o.g.)* – this assumption does not limit what we can consider because states and symbols can be renamed without changing the machine's function

## A Turing Machine Generator

- not every string involving the alphabet  $\{h, q, L, R, \#, 0, 1, a, ', \$\}$  is an encoded standard Turing machine
  - but whether or not  $w$  is an encoded Turing machine can be checked
- a list of all strings encoding standard Turing machines can be generated –
  - generate all strings over  $\{h, q, L, R, \#, 0, 1, a, ', \$\}$
  - for each string  $w$ , check if it encodes a Turing machine
  - if so, add  $w$  to the output list
- the symbolic representation of standard Turing machines is a recursively enumerable set
  - let  $T_i$  be the machine encoded by the  $i$ th string produced
  - given  $n \in \mathbb{N}$ , the symbolic representation for  $T_n$  can be found by repeating the process  $n+1$  times
- let  $G$  be a Turing machine which, when run with input  $a^n$ , halts with the encoding of  $T_n$

## Universal Turing Machine

- the universal Turing machine  $U$  simulates the computation of any standard Turing machine  $T$  on any input  $w$ 
  - (the symbolic representation of)  $T$  and  $w$  are written on  $U$ 's tape
  - $U$  keeps track of  $T$ 's state and position
    - $T$ 's state is written after  $w$  on the tape
    - use a special symbol  $@$  to the left of the current symbol in  $w$  to denote the current position
  - $U$ 's operation
    - write  $@$  at the beginning of  $w$  and  $q$  after  $w$
    - for each step in the computation of  $T$ 
      - determine the current state and symbol for  $T$
      - locate a transition rule that applies in this case
      - update the representation of  $T$ 's state, position, and tape to reflect applying the transition
      - if the new state is  $h$ , halt
  - observation:  $U$  halts if and only if  $T$  halts on input  $w$

**Theorem 5.4.** Let  $T_0, T_1, T_2, \dots$ , be the standard Turing machines, as described above. Let  $K$  be the language over the alphabet  $\{a\}$  defined by

$$K = \{a^n \mid T_n \text{ halts when run with input } a^n\}.$$

Then  $K$  is a recursively enumerable language, but  $K$  is not recursive. The complement

$$\bar{K} = \{a^n \mid T_n \text{ does not halt when run with input } a^n\}.$$

is a language that is not recursively enumerable.