*Reading and Practice.* Today we discuss general Vector Spaces. Read **Section 4.1**. Next time we will discuss subspaces (see pp. 193–195). Try pages 195–196 #1–4 (these are about closure) and 25–30. After reading about subspaces try #5, 7, 9, 11. **Hand In problems on next pages** for next class.

*Vector Spaces.* The algebraic properties of vectors in  $\mathbb{R}^n$  occur other mathematical systems. In general, we can think of **vector space** as a collection of objects that behave just as vectors do in  $\mathbb{R}^n$  under appropriate operations of addition and scalar multiplication.

**DEFINITION 4.1.1.** A **vector space** is a nonempty set  $\mathbb{V}$  of elements called **vectors** on which are defined two operations called **addition** and **scalar multiplication** that satisfy the ten axioms below. (The axioms must hold for all **u**, **v**, and **w** in  $\mathbb{V}$  and for all scalars *c* and *d*.)

- **1. u** + **v** is in \_\_\_\_\_. (Closure)
- 2.  $\mathbf{u} + \mathbf{v} =$ \_\_\_\_\_\_. (\_\_\_\_\_\_) 3.  $(\mathbf{u} + \mathbf{v}) + \mathbf{w} =$ \_\_\_\_\_\_. (\_\_\_\_\_\_\_)
- **4.** There is a vector (called the zero vector)  $0 \in \mathbb{V}$  so that u + 0 = \_\_\_\_\_\_ for all  $u \in \mathbb{V}$ . (Additive Identity)
- **5.** For each  $u \in V$ , there is a vector  $-u \in V$  so that u + (-u) = \_\_\_\_\_. (Additive Inverses)
- **6.** *c***u** is in \_\_\_\_\_. (Closure)
- **7.**  $c(\mathbf{u} + \mathbf{v}) =$ \_\_\_\_\_. (\_\_\_\_\_)
- 8.  $(c+d)\mathbf{u} =$ \_\_\_\_\_. (\_\_\_\_\_)
- 9.  $(cd)\mathbf{u} =$ \_\_\_\_\_.

**10.** 
$$1\mathbf{u} =$$
\_\_\_\_\_\_. (Normalization)

*Example 1.* Let 
$$\mathbb{V} = M_{22} = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} : a, b, c, d \in \mathbb{R} \right\}$$
. In this context  $\mathbf{0} = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ . And if  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ , then  $-A = \begin{bmatrix} & & \\ & & \\ & & \\ & & \end{bmatrix}$ . Most

of the vector space axioms follow from Theorem 1 in Chapter 2. Which axioms still remain to be verified? Are they true (obvious)? So is  $M_{22}$  is a vector space?

*Example 1'.* These same ideas apply to

 $\mathbb{V} = M_{mn} = \{A = [a_{ij}] : A \text{ is an } m \times n \text{ matrix with } a_{ij} \in \mathbb{R} \}.$ 

What is the additive identity matrix **0**? What matrix is the additive inverse -A?

*Example 2.*  $\mathbb{V} = \mathbb{R}^n$  with the usual operations of addition and scalar multiplication. The list of properties on page 27 ensures that  $\mathbb{R}^n$  is a vector space.

Algebraic Properties of  $\mathbb{R}^n$ : For all  $\mathbf{u}, \mathbf{v}, \mathbf{w} \in \mathbb{R}^n$  and scalars c and d. Then  $\mathbf{u} + \mathbf{v} \in \mathbb{R}^n$  and  $c\mathbf{u} \in \mathbb{R}^n$ . Further,

(1)  $\mathbf{u} + \mathbf{v} = \mathbf{v} + \mathbf{u}$ (2)  $(\mathbf{u} + \mathbf{v}) + \mathbf{w} = \mathbf{u} + (\mathbf{v} + \mathbf{w})$ (3)  $\mathbf{u} + \mathbf{0} = \mathbf{0} + \mathbf{u}$ (4)  $\mathbf{u} + (-\mathbf{u}) = -\mathbf{u} + \mathbf{u} = \mathbf{0}$ (5)  $c(\mathbf{u} + \mathbf{v}) = c\mathbf{u} + c\mathbf{v}$ (6)  $(c + d)\mathbf{u} = c\mathbf{u} + d\mathbf{u}$ (7)  $c(d\mathbf{u}) = (cd)\mathbf{u}$ (8)  $1\mathbf{u} = \mathbf{u}$  **THEOREM 2.1.1.** Let A, B, and C be matrices of the same size and let r and s be scalars.

- (1) A + B = B + A
  (2) (A + B) + C = A + (B + C)
  (3) A + 0 = A, where 0 is the zero matrix
  (4) r(A + B) = rA + rB
  (5) (r + s)A = rA + sA
  - $(6) \ r(sA) = (rs)A.$

Here  $-\mathbf{u}$  denotes  $(-1)\mathbf{u}$ .

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*Example* 3. This example will help you understand that vector spaces depend on both the set and how the operations of addition and scalar multiplication are defined. Let  $\mathbb{R}^+ = \{x \in \mathbb{R} : x > 0\}$ , the set of positive real numbers. Since the operations are not the usual ones we will use a different symbol. Define 'addition' as multiplication:  $x \oplus y = xy$  and 'scalar multiplication' as raising to a power:  $c \odot x = x^c$ . Using these operations:

(1) Verify Axiom 1  $\mathbb{R}^+$  is closed under  $\oplus$ . Let  $x, y \in \mathbb{R}^+$ . Show  $x \oplus y \in \mathbb{R}^+$ .

VERIFICATION:

Always start with the appropriate set up. In this case: "Let  $x, y \in \mathbb{R}^+ \dots$ "

(2) Verify Axiom 2:  $x \oplus y = y \oplus x$ .

(3) Verify Axiom 4; find the zero vector or additive identity z so that  $x \oplus z = x$  for all  $x \in \mathbb{R}^+$ .

(4) Verify Axiom 8;  $(c+d) \odot (x) = (c \odot x) \oplus (d \odot x)$ .

(5) Verify Axiom 6:  $\mathbb{R}^+$  is closed under scalar multiplication,  $c \odot x \in \mathbb{R}^+$ .

(6) Verify Axiom 3:  $(x \oplus y) \oplus z = x \oplus (y \oplus z)$ .

(7) Verify Axiom 5; find the additive inverse  $\ominus x$  so that  $x \oplus (\ominus x) = 1$  where 1 is the identity that we found on the previous page.

(8) Verify Axiom 7:  $c \odot (x \oplus y) = (c \odot x) \oplus (c \odot y)$ .

(9) Verify Axiom 9:  $(cd) \odot x = c \odot (d \odot x)$ .

(10) Verify Axiom 10:  $1 \odot x = x$ .

*Example 4.* Let  $\mathbb{V} = \left\{ \begin{bmatrix} a \\ b \end{bmatrix} : a, b \in \mathbb{R} \right\}$ . Define the operation of addition the usual way, but define scalar multiplication differently:

$$\left[\begin{array}{c}a\\b\end{array}\right] + \left[\begin{array}{c}c\\d\end{array}\right] = \left[\begin{array}{c}a+c\\b+d\end{array}\right] \qquad \qquad r \odot \left[\begin{array}{c}a\\b\end{array}\right] = \left[\begin{array}{c}ra\\b\end{array}\right].$$

Notice that both operations result in elements of  $\mathbb{V}$  again so  $\mathbb{V}$  is closed and Axioms 1 and 6 hold. Further, since addition is the usual operation of vector addition, we know that the Addition Axioms 2–5 also hold.

Determine whether  $\mathbb{V}$  is a vector space by checking the remaining Multiplicative Axioms 7–10. Note: Use *r* and *s* as scalars not *c* and *d* as in the axioms since we have used *c* and *d* as components in the vectors.

*Additional Problems from the Text.* Do Page 197 Exercises #26 and 28. For each problem **copy the proof** and fill in the missing axiom.

**EXAMPLE:** Let  $n \ge 0$  be an integer and let

 $\mathbf{P}_n$  = the set of all polynomials of degree at most  $n \ge 0$ .

Members of  $\mathbf{P}_n$  have the form

$$\mathbf{p}(t) = a_0 + a_1 t + a_2 t^2 + \dots + a_n t^n$$

where  $a_0, a_1, \ldots, a_n$  are real numbers and t is a real variable. The set **P**<sub>n</sub> is a vector space:

Let  $\mathbf{p}(t) = a_0 + a_1t + \dots + a_nt^n$  and  $\mathbf{q}(t) = b_0 + b_1t + \dots + b_nt^n$ . Let *c* be a scalar.

## Axiom 1:

The polynomial  $\mathbf{p} + \mathbf{q}$  is defined as follows:  $(\mathbf{p} + \mathbf{q})(t) = \mathbf{p}(t) + \mathbf{q}(t)$ . Therefore,

 $(\mathbf{p} + \mathbf{q})(t) = \mathbf{p}(t) + \mathbf{q}(t)$ 

 $= (\_\_\_) + (\_\_\_)t + \dots + (\_\_\_)t^n$ 

which is also a \_\_\_\_\_\_ of degree at most \_\_\_\_\_. So  $\mathbf{p} + \mathbf{q}$  is in  $\mathbf{P}_n$ .

Axiom 4:

 $0 = 0 + 0t + \dots + 0t^n$ (zero vector in  $\mathbf{P}_n$ )

 $(\mathbf{p} + \mathbf{0})(t) = \mathbf{p}(t) + \mathbf{0} = (a_0 + 0) + (a_1 + 0)t + \dots + (a_n + 0)t^n$ 

 $= a_0 + a_1t + \cdots + a_nt^n = \mathbf{p}(t)$ 

and so  $\mathbf{p} + \mathbf{0} = \mathbf{p}$ 

Axiom 6:

 $(c\mathbf{p})(t) = c\mathbf{p}(t) = (\_\_\_) + (\_\_)t + \dots + (\_\_)t^n$ 

which is in  $\mathbf{P}_n$ .

Axiom 5 (additive inverse) If  $\mathbf{p} = a_0 + a_1 t + \dots + a_n t \in \mathbb{P}_n$ , then  $-\mathbf{p} =$ \_\_\_\_\_\_\_because

 $\mathbf{p} + (-\mathbf{p}) = ( ) + ( ) t + \dots + ( ) t^n = \underline{ }.$ 

Axiom 2 (commutativity) If  $\mathbf{p} = a_0 + a_1t + \cdots + a_nt \in \mathbb{P}_n$  and  $\mathbf{q} = b_0 + b_1t + \cdots + b_nt \in \mathbb{P}_n$ , then

 $\mathbf{p} + \mathbf{q} = ( ) + ( ) t + \dots + ( ) t^n = \underline{ },$ 

while

 $\mathbf{q} + \mathbf{p} = ( ) + ( ) t + \dots + ( ) t^n = \underline{\qquad}.$ 

But addition of real numbers is commutative, so  $a_i + b_i = b_i + a_i$ , so  $\mathbf{p} + \mathbf{q} = \mathbf{q} + \mathbf{p}$ .

*Axiom* 3 (associativity) Using the same idea, if **p** and **q** are as above, and  $\mathbf{r} = c_0 + c_1t + \cdots + c_nt \in \mathbb{P}_n$ , then  $(\mathbf{p} + \mathbf{q}) + \mathbf{r} = \mathbf{p} + (\mathbf{q} + \mathbf{r})$  because

 $[(a_i+b_i)+c_i] = [\_\_\_].$ 

Axiom 7 (distributivity, vector addition) If *c* is a scalar, then

$$c(\mathbf{p} + \mathbf{q}) = c[(a_0 + b_0) + (a_1 + b_1)t + \dots + (a_n + b_n)t^n]$$
  
=  $(ca_0 + cb_0) + (ca_1 + cb_1)t + \dots + (ca_n + cb_n)t^n$   
= \_\_\_\_\_.

Axiom 8 (distributivity, scalar addition) If c and d are scalars, then

$$(c+d)\mathbf{p} = (c+d)[a_0 + a_1t + \dots + a_nt^n]$$
$$= \underline{\qquad}$$
$$= \underline{\qquad}$$

Axiom 9 (scalar multiplication)If *c* and *d* are scalars, then

$$c(d\mathbf{p}) = c[da_0 + da_1t + \dots + da_nt^n]$$

= \_\_\_\_\_.

Axiom 10 (normalization) Is  $1\mathbf{p} = \mathbf{p}$ ?

 $1\mathbf{p} = \mathbf{1}[a_0 + a_1 t + \dots + a_n t^n] = \underline{\qquad}$ 

So all 10 axioms are satisfied, so  $\mathbb{P}_n$  is a vector space.

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