

Context-Free Grammars and PDAs

Theorem 4.6. Let Σ be an alphabet, and let L be a language over Σ . Then L is context-free if and only if there is a pushdown automaton whose input alphabet is Σ such that $L = L(M)$.

- construction of a pushdown automaton for L

Suppose that L is a context-free language over an alphabet Σ . Let $G = (V, \Sigma, P, S)$ be a context-free grammar for L . Then we can construct a pushdown automaton M that accepts L . In fact, we can take $M = (Q, \Sigma, \Lambda, q_0, \partial, F)$ where $Q = \{q_0, q_1\}$, $\Lambda = \Sigma \cup V$, $F = \{q_1\}$, and ∂ contains transitions of the forms

- $((q_0, \varepsilon, \varepsilon), (q_1, S))$; push the start symbol on the stack
- $((q_1, \sigma, \sigma), (q_1, \varepsilon))$, for $\sigma \in \Sigma$; and read a terminal symbol, matching it with the top of the stack
- $((q_1, \varepsilon, A), (q_1, x))$, for each production $A \rightarrow x$ in G . replace a non-terminal on the top of the stack with the right side of the production

- $((q_0, \varepsilon, \varepsilon), (q_1, S))$; push the start symbol on the stack
- $((q_1, \sigma, \sigma), (q_1, \varepsilon))$, for $\sigma \in \Sigma$; and read a terminal symbol, matching it with the top of the stack
- $((q_1, \varepsilon, A), (q_1, x))$, for each production $A \rightarrow x$ in G . replace a non-terminal on the top of the stack with the right side of the production

$S \rightarrow AB$
 $A \rightarrow aAb$
 $A \rightarrow \varepsilon$
 $B \rightarrow bB$
 $B \rightarrow b$

input: aabbbb

Transition	Input Consumed	Stack	Derivation
$((q_0, \varepsilon, \varepsilon), (q_1, S))$		S	$S \Rightarrow AB$
$((q_1, \varepsilon, S), (q_1, AB))$		AB	$\Rightarrow AB$
$((q_1, \varepsilon, A), (q_1, aAb))$		$aAbB$	$\Rightarrow aAbB$
$((q_1, a, a), (q_1, \varepsilon))$	a	AbB	
$((q_1, \varepsilon, A), (q_1, aAb))$	a	$aAbbB$	$\Rightarrow aaAbbB$
$((q_1, b, b), (q_1, \varepsilon))$	aa	$AbbB$	
$((q_1, \varepsilon, S), (q_1, AB))$		aa	$\Rightarrow aabbB$
$((q_1, \varepsilon, A), (q_1, aAb))$		aa	
$((q_1, a, a), (q_1, \varepsilon))$	aa	bbB	
$((q_1, \varepsilon, A), (q_1, aAb))$		aa	
$((q_1, b, b), (q_1, \varepsilon))$	aab	bB	
$((q_1, \varepsilon, B), (q_1, bB))$		$aabb$	$\Rightarrow aabbB$
$((q_1, \varepsilon, A), (q_1, \varepsilon))$		$aabb$	
$((q_1, \varepsilon, B), (q_1, bB))$		$aabb$	
$((q_1, b, b), (q_1, \varepsilon))$	$aabbb$	B	
$((q_1, \varepsilon, B), (q_1, b))$		$aabbb$	$\Rightarrow aabbbb$
$((q_1, \varepsilon, B), (q_1, b))$		$aabbb$	
$((q_1, b, b), (q_1, \varepsilon))$	$aabbbb$	b	
$((q_1, \varepsilon, B), (q_1, b))$		$aabbbb$	

7. Let $M = (Q, \Sigma, \Lambda, q_0, \partial, F)$ be a pushdown automaton. Define $L'(M)$ to be the language $L'(M) = \{w \in \Sigma^* \mid \text{it is possible for } M \text{ to start in state } q_0, \text{ read all of } w, \text{ and end in an accepting state}\}$. $L'(M)$ differs from $L(M)$ in that for $w \in L'(M)$, we do not require that the stack be empty at the end of the computation.

- Show that there is a pushdown automaton M' such that $L(M') = L'(M)$.
- Show that a language L is context-free if and only if there is a pushdown automaton M such that $L = L'(M)$.
- Identify the language $L'(M)$ for each of the automata in Exercise 1.

8. Let L be a regular language over an alphabet Σ , and let K be a context-free language over the same alphabet. Let $M = (Q, \Sigma, q_0, \delta, F)$ be a DFA that accepts L , and let $N = (P, \Sigma, \Lambda, p_0, \partial, E)$ be a pushdown automaton that accepts K . Show that the language $L \cap K$ is context-free by constructing a pushdown automaton that accepts $L \cap K$. The pushdown automaton can be constructed as a "cross product" of M and N in which the set of states is $Q \times P$. The construction is analogous to the proof that the intersection of two regular languages is regular, as outlined in Exercise 3.6.7.

Non-Context-Free Languages

- just like there are languages that aren't regular, there are languages that aren't context-free
- there is a pumping lemma for context-free languages similar to the one for regular languages
 - idea for regular languages
 - a DFA/NFA only has a finite number of states, so for any sufficiently long string, a state must be repeated – that provides a loop which can be "pumped" to generate a set of strings, all of which are in the language
 - idea for context-free languages
 - a context-free grammar only has a finite number of non-terminals, so for any sufficiently long string, there's a root-to-leaf path in the parse tree where a non-terminal is repeated – that provides a loop which can be "pumped" to generate a set of strings, all of which are in the language
- the intersection of two context-free languages is not necessarily context-free

General Grammars

Definition 4.6. A *grammar* is a 4-tuple (V, Σ, P, S) , where:

1. V is a finite set of symbols. The elements of V are the non-terminal symbols of the grammar.
 2. Σ is a finite set of symbols such that $V \cap \Sigma = \emptyset$. The elements of Σ are the terminal symbols of the grammar.
 3. P is a set of production rules. Each rule is of the form $u \rightarrow x$ where u and x are strings in $(V \cup \Sigma)^*$ and u contains at least one symbol from V .
 4. $S \in V$. S is the start symbol of the grammar.
- a context-free grammar is a grammar where the rules are limited to the form $A \rightarrow x$ (a single non-terminal on the left)

General Grammars

- (general) grammars are more powerful than context-free grammars
- are there languages that can't be produced by grammars?
 - yes – for an alphabet Σ , there uncountably many languages but only countably many that can be generated by grammars

1. Find a derivation for the string $caabcb$, according to the first example grammar in this section. Find a derivation for the string $aabbcc$, according to the second example grammar in this section. Find a derivation for the string $aaaa$, according to the third example grammar in this section.

$S \rightarrow SABC'$	$S \rightarrow SABC'$	$S \rightarrow DTE$
$S \rightarrow \varepsilon$	$S \rightarrow X$	$T \rightarrow BTA$
$AB \rightarrow BA$	$BA \rightarrow AB$	$T \rightarrow \varepsilon$
$BA \rightarrow AB$	$CA \rightarrow AC$	$BA \rightarrow AaB$
$AC \rightarrow CA$	$CB \rightarrow BC$	$Ba \rightarrow aB$
$CA \rightarrow AC$	$XA \rightarrow aX$	$BE \rightarrow E$
$BC \rightarrow CB$	$X \rightarrow Y$	$DA \rightarrow D$
$CB \rightarrow BC$	$YB \rightarrow bY$	$Da \rightarrow aD$
$A \rightarrow a$	$Y \rightarrow Z$	$DE \rightarrow \varepsilon$
$B \rightarrow b$	$ZC \rightarrow cZ$	
$C \rightarrow c$	$Z \rightarrow \varepsilon$	

$$n_a(w) = n_b(w) = n_c(w)$$

$$a^i b^j c^i$$

$$a^{n^2}$$

2. Consider the third sample grammar from this section, which generates the language $\{a^{n^2} \mid n \in \mathbb{N}\}$. Is the non-terminal symbol D necessary in this grammar? What if the first rule of the grammar were replaced by $S \rightarrow TE$ and the last three rules were replaced by $A \rightarrow \varepsilon$ and $E \rightarrow \varepsilon$? Would the resulting grammar still generate the same language? Why or why not?

$S \rightarrow DTE$
$T \rightarrow BTA$
$T \rightarrow \varepsilon$
$BA \rightarrow AaB$
$Ba \rightarrow aB$
$BE \rightarrow E$
$DA \rightarrow D$
$Da \rightarrow aD$
$DE \rightarrow \varepsilon$

3. Find a grammar that generates the language $L = \{w \in \{a, b, c, d\}^* \mid n_a(w) = n_b(w) = n_c(w) = n_d(w)\}$. Let Σ be any alphabet. Argue that the language $\{w \in \Sigma^* \mid \text{all symbols in } \Sigma \text{ occur equally often in } w\}$ can be generated by a grammar.

4. For each of the following languages, find a grammar that generates the language. In each case, explain how your grammar works.

- | | |
|--|---|
| a) $\{a^n b^n c^n d^n \mid n \in \mathbb{N}\}$ | b) $\{a^n b^m c^{nm} \mid n \in \mathbb{N} \text{ and } m \in \mathbb{N}\}$ |
| c) $\{ww \mid w \in \{a, b\}^*\}$ | d) $\{www \mid w \in \{a, b\}^*\}$ |
| e) $\{a^{2^n} \mid n \in \mathbb{N}\}$ | f) $\{w \in \{a, b, c\}^* \mid n_a(w) > n_b(w) > n_c(w)\}$ |