

## Reductions for Algorithms

- can be helpful for solving a new problem
  - provides another way of thinking about the problem which may reveal new insights
  - can provide a black box for solving the trickiest algorithmic part
- but may not be the most efficient way to solve the problem
  - e.g. driving to Seattle is an  $O(n)$  greedy algorithm if sorted,  $O(n \log n)$  if not → shortest path in a graph  $O(n^2)$

## Complexity

Some problems seem to be more difficult to solve efficiently than others.

- the obvious brute force algorithm often has very different running time for different algorithms

- e.g. closest pair of points –  $n^2$ 
  - compute the distance for every pair
- e.g. 0-1 knapsack –  $2^n$ 
  - try every subset



## Complexity

Some problems seem to be more difficult to solve efficiently than others.

- small changes in a problem can make it much harder to solve
  - e.g. fractional knapsack vs 0-1 knapsack
  - e.g. linear programming vs integer linear programming
  - e.g. shortest path in a graph vs the longest
    - (note: general graph, not limited to DAG)
  - e.g. use every edge once (Euler circuit) vs use every vertex once (hamiltonian cycle)

## Complexity

Some problems seem to be more difficult to solve efficiently than others.

- algorithmic techniques which work to speed up some problems don't work for others
  - e.g. greedy vs dynamic programming vs recursive backtracking

## Complexity

Are there some problems which take fundamentally longer to solve than others, or have we just not been clever enough yet to find an efficient solution?



## Famous Complexity Classes

**P** – decision problems solvable by a deterministic Turing machine in polynomial time

**NP** – decision problems verifiable by a deterministic Turing machine in polynomial time

**FP** – function problems solvable by a deterministic Turing machine in polynomial time

**FNP** – function problems verifiable by a deterministic Turing machine in polynomial time

## Decision Problems vs Function Problems

*Decision problems* are problems where the result is a yes/no answer.

- e.g. is there a solution to the 0-1 knapsack problem with total weight  $\leq W$  and total value  $\geq V$ ?

*Function problems* compute the result of a function.

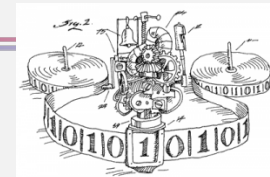
- e.g. 0-1 knapsack problem: maximize the total value such that the total weight  $\leq W$

Observation –

- a function problem can be solved efficiently given a black box for the corresponding decision problem
  - “efficiently” = logarithmic number of steps
    - use one-sided binary search

(one-sided binary search for knapsack means trying  $V = 2^i$  for  $i = 0, 1, 2, \dots$  until the answers are different for successive values of  $i$ , then repeating the process within the interval found to find a smaller interval, and so forth)

## Turing Machines



<http://www.nikoloplakis.gr/>

A *Turing machine* is a theoretical machine consisting of:

- an infinite tape divided into cells
- a head that can read and write symbols on the tape, and move one cell left or right
- a current state, which is one of a finite number of possible states
- a finite table which, given a current state and symbol on the tape, specifies an action (erase or write symbol), a movement (left, right, or stay), and a new state

Tape symbol	Current state A			Current state B			Current state C		
	Write symbol	Move tape	Next state	Write symbol	Move tape	Next state	Write symbol	Move tape	Next state
0	1	R	B	1	L	A	1	L	B
1	1	L	C	1	R	B	1	R	HALT

## Deterministic vs Nondeterministic

A *deterministic* Turing machine has at most one rule that applies to a given state and symbol.

A *nondeterministic* Turing machine may have multiple rules that apply to a given state and symbol.

## Famous Complexity Classes

**P** – solvable by a deterministic Turing machine in polynomial time

**NP** – verifiable by a deterministic Turing machine in polynomial time

– alternatively, solvable by a nondeterministic Turing machine in polynomial time

Key points –

- for NP, technically it is only “yes” solutions that are polynomial-time verifiable
  - a “yes” answer requires only a single instance that works (and is checkable in polynomial time)
  - a “no” answer requires showing that no instance works
- in both cases, there are at most a polynomial number of choices to make in order to generate the solution
  - for each choice –
    - deterministic has rules to pick the right alternative
    - nondeterministic can be thought of as correctly guessing the right alternative

## Famous Complexity Classes

- does NP include P? that is, is every problem in P also in NP?
  - yes – if you can solve a problem in polynomial time, you can always verify a possible solution by computing the solution yourself and comparing
- are there problems in NP that aren't in P?
  - probably
  - (proving this one way or the other will get you fame and a million dollars)
- are there problems that aren't in NP?
  - yes e.g. function problems (NP is only decision problems), the halting problem (undecidable)

## Famous Complexity Classes

**P** – decision problems solvable by a deterministic Turing machine in polynomial time

**NP** – decision problems verifiable by a deterministic Turing machine in polynomial time

**FP** – function problems solvable by a deterministic Turing machine in polynomial time

**FNP** – function problems verifiable by a deterministic Turing machine in polynomial time

## Famous Complexity Classes

- does FNP contain FP?
  - yes
- are there problems in FNP that aren't in FP?
  - probably (for the same reason as there are probably problems in NP not in P)
- are there problems that aren't in FNP?
  - yes – e.g. enumeration tasks (solution size can be exponential)

## Famous Complexity Classes

- is FP easier or harder than P?
  - no – each can be used as a black box to efficiently solve the other problem
    - the solution to the FP version can be used directly to answer the P version's question
    - the P version can be used as a black box to find the FP solution in polynomial time using one-sided binary search
- is FNP easier or harder than NP?
  - [Bellare, Goldwasser 1994] under certain assumptions, there are FNP problems that are harder than their corresponding NP problems
    - i.e. there seem to be problems in FNP where a solution to the NP version can't be used to efficiently solve the FNP version

## Determining Complexity

Reductions are useful for making arguments about complexity.

Let A be a problem with a polynomial-time reduction to B.

- i.e. polynomial time to turn an instance of A into an instance of B, and polynomial time to turn a solution for B into a solution for A

Then B is at least as hard as A.

easy/hard has to do with efficiency of solution

Why?

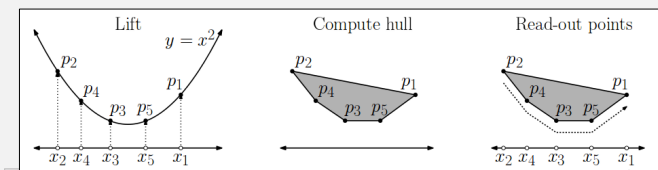
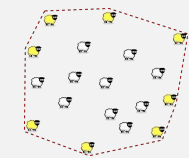
- if B has an efficient algorithm, A can be solved efficiently via the reduction
- if B doesn't have an efficient algorithm, it may still be possible to solve A efficiently using a different approach – we don't know

## Reductions for Lower Bounds

Sorting can be reduced to convex hull –

- for each element  $i$  to be sorted, create a point  $(i, i^2)$
- compute the convex hull of the points
  - (using an algorithm that outputs the hull points in cyclic order)
- read points on the hull from left to right, starting with the leftmost point in the hull
  - this is the sorted order of the elements

the convex hull of a set of points is the shape of a rubber band stretched around those points



## Reductions for Lower Bounds

Sorting can be reduced to convex hull –

- for each element  $i$ , create a point  $(i, i^2)$   $O(n)$
- compute the convex hull  $O(??)$ 
  - (using an algorithm that outputs the hull points in cyclic order)
- read points on the hull from left to right, starting with the leftmost point in the hull  $O(n)$

Since comparison-based sorting is known to take  $\Omega(n \log n)$  time, the ?? step cannot be faster than  $n \log n$  or else we'd have a better algorithm for sorting using convex hull.

→ convex hull (if the points on the hull are output in cyclic order) is  $\Omega(n \log n)$

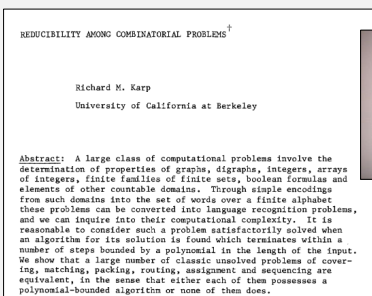
## Completeness

Within a class, the *complete* problems are the hardest – if you can solve a complete problem, you can solve every problem in the class.

- **P-complete** – set of problems in P such that every other problem in P is polynomial-time reducible to one in the set
  - these are problems believed to be “inherently sequential” i.e. a parallel computer would not significantly speed them up
- **NP-complete** – set of problems in NP such that every other problem in NP is polynomial-time reducible to one in the set

## Karp's 21 NP-Complete Problems

One of the first demonstrations that many common computational problems are computationally intractable. (1972)



Richard Karp,  
1935-  
American  
computer scientist

known for work in  
computer science,  
combinatorial  
algorithms, operations  
research,  
bioinformatics

- Held-Karp algorithm – TSP
- Edmonds-Karp algorithm – max flow
- 21 NP-complete problems
- Hopcroft-Karp algorithm – matchings in bipartite graphs
- Karp-Lipton theorem – complexity result
- Rabin-Karp string search algorithm

1985 Turing Award for  
contributions to the  
theory of NP-  
completeness

## Karp's 21 NP-Complete Problems

clique	is there a set of $k$ vertices in the graph such that every vertex in the set is connected to every other vertex in the set?
clique cover	can the graph be partitioned into $k$ cliques?
vertex cover	is there a set of $k$ vertices in the graph such that every edge has at least one endpoint in the set?
chromatic number	can the graph be colored with $k$ colors?
feedback node set	is there a set of $k$ vertices in an undirected graph whose removal leaves the graph without cycles?
feedback arc set	is there a set of $k$ edges in a directed graph whose removal leaves the graph without directed cycles?
directed hamiltonian cycle	is there a directed/undirected cycle which visits every vertex exactly once?
undirected hamiltonian cycle	
max cut	can the vertices of a graph be split into two sets so that the sum of the weights of the edges between vertices in different sets is at most $k$ ?
Steiner tree	version of MST where additional points may be introduced to reduce the overall weight of the tree

## Karp's 21 NP-Complete Problems

CNFSAT	is there an assignment of values to make a boolean expression with only OR and NOT within a clause and clauses joined by AND true?
3-SAT	CNFSAT where there are exactly three variables per clause
binary integer programming	linear programming where variables are constrained to the values 0 or 1
set packing	in a collection of sets, is there a group of $k$ that are disjoint?
set covering	given a collection of subsets of $X$ , is there a group of $k$ subsets that together contain every element of $X$ ?
exact cover	given a collection of subsets of $X$ , is there a group of those subsets such that every element of $X$ is contained in exactly one subset?
hitting set	given a collection of subsets of $X$ , is there a subset $H$ of $X$ of size $k$ so that every set in the collection contains at least one element of $H$ ?
3-dimensional matching	given a set of triples $(x,y,z)$ where $x \in X$ , $y \in Y$ , $z \in Z$ , is there a collection of triples such that every element of $X$ , $Y$ , and $Z$ occurs exactly once?
0-1 knapsack	is there a set of items with total weight $\leq W$ and total value $\geq V$ ?
partition	can a set of numbers be split into two parts so that the sums of the parts are equal?
job sequencing	can a set of jobs be scheduled so that no more than $k$ miss their deadlines?