3.2 — Bases and linear independence University of Massachusetts Amherst Math 235 — Spring 2014

**Definition 1.** A subset W of  $\mathbb{R}^n$  is called a *linear subspace* of  $\mathbb{R}^n$  if it satisfies the following three properties:

- (a) W contains the zero vector in  $\mathbb{R}^n$
- (b) W is closed under addition: If  $\vec{w}_1$  and  $\vec{w}_2$  are in W, then so is  $\vec{w}_1 + \vec{w}_2$ .
- (c) W is closed under scalar multiplication: if  $\vec{w}$  is in W, then  $k\vec{w}$  is in W for any scalar k.

**Theorem 2.** If  $T(\vec{x}) = A\vec{x}$  is a linear transformation from  $\mathbb{R}^m$  to  $\mathbb{R}^n$ , then (a) ker(T) = ker(A) is a linear subspace of  $\mathbb{R}^m$ , and (b) im(T) = im(A) is a linear subspace of  $\mathbb{R}^n$ .

Proof.

**Example 3.** Is 
$$W = \left\{ \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2 \colon x \ge 0, y \ge 0 \right\}$$
 a linear subspace of  $\mathbb{R}^2$ ?

**Example 4.** Classify all the linear subspaces of  $\mathbb{R}^3$ .

Recall the definition of the span of a set of vectors  $\{\vec{v}_1, \ldots, \vec{v}_m\}$ .

**Example 5.** Consider the matrix  $A = \begin{bmatrix} 1 & 2 & 1 & 2 \\ 1 & 2 & 2 & 3 \\ 1 & 2 & 3 & 4 \end{bmatrix}$ . Find vectors in  $\mathbb{R}^3$  that span the image of A. What is the smallest number of vectors needed to span the image of A?

**Definition 6.** Consider the set of vectors  $\{\vec{v}_1, \ldots, \vec{v}_m\} \subset \mathbb{R}^n$ .

- (a) We say that a vector  $\vec{v}_i$  is redundant if  $\vec{v}_i$  is a linear combination of the preceding vectors  $\vec{v}_1, \ldots, \vec{v}_{i-1}.$
- (b) The vectors  $\vec{v}_1, \ldots, \vec{v}_m$  are called *linearly independent* if none of them are redundant. Otherwise the vectors are called *linearly dependent*.
- (c) We say that the vectors  $\vec{v}_1, \ldots, \vec{v}_m$  form a *basis* of a subspace V of  $\mathbb{R}^n$  if they span V and are linearly independent.

**Definition 7.** The standard basis for  $\mathbb{R}^n$  is the set of vectors  $\{\vec{e}_1, \ldots, \vec{e}_n\}$ , where  $\vec{e}_i$  has a 1 in the *i*-th component, and 0's everywhere else.

The standard basis for 
$$\mathbb{R}^2$$
 is  $\left\{ \begin{bmatrix} 1\\0\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\1 \end{bmatrix} \right\}$ . The standard basis for  $\mathbb{R}^3$  is  $\left\{ \begin{bmatrix} 1\\0\\0\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\0\\1 \end{bmatrix}, \begin{bmatrix} 0\\0\\1\\1 \end{bmatrix} \right\}$ . However, any set of *n* linearly in dependent vectors is a basis for  $\mathbb{R}^n$ .

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**Theorem 8.** Suppose that the set of vectors  $\{\vec{v}_1, \ldots, \vec{v}_m\}$  spans V, then the set of vectors  $\{\vec{v}_1, \ldots, \vec{v}_m\}$ contains a basis for V.

**Theorem 9.** The pivot columns of a matrix A are a basis for im(A).

**Example 10.** Find a basis for im(A), where  $A = \begin{bmatrix} 1 & 2 & 1 & 2 \\ 1 & 2 & 2 & 3 \\ 1 & 2 & 3 & 4 \end{bmatrix}$ .

**Example 11.** Does  $\operatorname{im}(A) = \operatorname{span}\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 1\\1\\0 \end{bmatrix} \right\}$ ?

**Example 12.** Which sets of vectors contains a basis for  $\mathbb{R}^3$ ?

$$(a) \begin{bmatrix} 1\\2\\3 \end{bmatrix}, \begin{bmatrix} 3\\2\\1 \end{bmatrix} \qquad (b) \begin{bmatrix} 1\\2\\3 \end{bmatrix}, \begin{bmatrix} 3\\2\\1 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix} \qquad (c) \begin{bmatrix} 0\\2\\-1 \end{bmatrix}, \begin{bmatrix} 1\\1\\1 \end{bmatrix}, \begin{bmatrix} 1\\3\\0 \end{bmatrix}$$

**Example 13.** Find a basis for the kernel of the matrix  $A = \begin{bmatrix} 1 & 2 & 0 & 3 & 5 \\ 0 & 0 & 1 & 4 & 6 \end{bmatrix}$ .

**Theorem 14.** The vectors  $\vec{v}_1, \ldots, \vec{v}_m$  are linearly independent if and only if the only solution to

 $a_1\vec{v}_1 + a_2\vec{v}_2 + \dots + a_m\vec{v}_m = \vec{0}$ 

is the trivial solution:  $a_1 = a_2 = \cdots = a_m = 0$ .

**Example 15.** Suppose that A is an  $n \times m$  matrix whose columns are linearly independent. What is ker(A)?

**Theorem 16.** Let  $\{\vec{v}_1, \ldots, \vec{v}_m\}$  be a set of vectors in  $\mathbb{R}^n$ . The following are equivalent.

- (a) The vectors  $\vec{v}_1, \ldots, \vec{v}_m$  are linearly independent.
- (b) None of the vectors  $\vec{v}_1, \ldots, \vec{v}_m$  are redundant.
- (c) None of the vectors is a linear combination of the other vectors.
- (d) If  $a_1 \vec{v}_1 + \dots + a_m \vec{v}_m = \vec{0}$ , then  $a_1 = \dots = a_m = 0$ .

(e) ker 
$$\begin{bmatrix} \downarrow & & \downarrow \\ \vec{v}_1 & \cdots & \vec{v}_m \\ \downarrow & & \downarrow \end{bmatrix} = \{\vec{0}\}.$$
  
(f) rank  $\begin{bmatrix} \downarrow & & \downarrow \\ \vec{v}_1 & \cdots & \vec{v}_m \\ \downarrow & & \downarrow \end{bmatrix} = m.$ 

**Theorem 17.** Let  $\{\vec{v}_1, \ldots, \vec{v}_m\}$  be a set of vectors in a subspace V of  $\mathbb{R}^n$ . The set of vectors  $\vec{v}_1, \ldots, \vec{v}_m$  are linearly independent if and only if every vector  $\vec{v} \in V$  can be expressed uniquely as a linear combination

$$\vec{v} = a_1 \vec{v}_1 + \dots + a_m \vec{v}_m.$$

Proof.

- (1) Find a nontrivial relation among the vectors  $\begin{bmatrix} 1\\2 \end{bmatrix}, \begin{bmatrix} 2\\3 \end{bmatrix}, \begin{bmatrix} 3\\4 \end{bmatrix}$ .
- (2) Is the set of vectors  $\left\{ \begin{bmatrix} x \\ y \\ z \end{bmatrix} : x \le y \le z \right\}$  a linear subspace of  $\mathbb{R}^3$ ? Explain.
- (3) Let  $A = \begin{bmatrix} | & | & | & | \\ \vec{v}_1 & \vec{v}_2 & \vec{v}_3 & \vec{v}_4 \\ | & | & | & | \end{bmatrix}$  and suppose  $\begin{bmatrix} 1\\ 2\\ 3\\ 4 \end{bmatrix}$  is in the kernel of A. Express  $\vec{v}_4$  as a linear combination of  $\vec{v}_1, \vec{v}_2$ , and  $\vec{v}_3$ .
- (4) Suppose that  $\vec{v}_1, \vec{v}_2$ , and  $\vec{v}_3$  are linearly independent vectors in  $\mathbb{R}^4$ . Find rref  $\begin{bmatrix} | & | & | \\ \vec{v}_1 & \vec{v}_2 & \vec{v}_3 \\ | & | & | \end{bmatrix}$ .